



# INTEGER WEALTH GLOBAL

---

## Policy & Procedures Index

Issue: 01 June 2026

---

The following is a non-exhaustive list of content in within the 'Policies & Procedures' section beyond the 'Login & Password' section. Policies and procedures are constantly written, revised, added and updated without notice.

Should you require access to this section, kindly request the access credentials from our Compliance Department at [compliance@integerwealth.global](mailto:compliance@integerwealth.global).

Kindly note that this document together with the content within our 'Policies & Procedures' section will be frequently updated.

The content index in these respective sections are:

### 1. Operational Policies

- 1.1 Policy - Business Relationships – Acquisitions and Project Investment
- 1.2 Policy - Communications Policy
- 1.3 Policy - Confidentiality and Non-Disclosure Policy
- 1.4 Policy - Data Breach Policy
- 1.5 Policy - Disciplinary & Performance & Flowchart
- 1.6 Policy - Document & Storage Policy
- 1.7 Policy - Document Retention
- 1.8 Policy - Integer Wealth Global (IWG) Onboarding AIF
- 1.9 Policy - Internet and Email Usage Policy
- 1.10 Policy - Non-Modification of Investment Processes & Procedures
- 1.11 Policy - Staff and Personnel Onboarding
- 1.12 Policy - Travel and Accommodation
- 1.13 Policy - Client exit procedure
- 1.14 Policy - IWG Compliance for establishment of an AIF
- 1.15 Policy - Managing a Compliance Breach in the Establishment of an AIF
- 1.16 Policy - Call & Meeting recordings
- 1.17 Policy - Time Frame disclaimer
- 1.18 Policy - Acceptable Usage
- 1.19 Policy - Asset Management
- 1.20 Policy - Code of Conduct
- 1.21 Policy - Responsible Disclosure
- 1.22 Policy - Software Development Life Cycle
- 1.23 Policy - System Access Control
- 1.24 Policy - Vendor Management
- 1.25 Policy - Client Qualification and Compliance Policy



## **2. Legacy Policies**

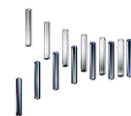
- 2.1 Policy - Client Restrictive Industry Sector
- 2.2 Policy - Due Diligence Disclosure
- 2.3 Policy - Non-Compliant Economic Jurisdictions
- 2.4 Policy - IWG GDPR (General Data Protection Regulation)
- 2.5 Policy - IWG GDPR (General Data Protection Regulation) (web page version)
- 2.6 Policy - Client Exit and Mandate Cancellation Policy (policy document PDF version)
- 2.7 Policy - Client Exit and Mandate Cancellation Policy (web page version)
- 2.8 Policy - Bribery and Corruption
- 2.9 Policy - Data Classification Policy
- 2.10 Policy - Data Deletion Policy
- 2.11 Policy - Data Protection Policy
- 2.12 Policy - Disaster Recovery Plan
- 2.13 Policy - Encryption Policy
- 2.14 Policy - Information Security
- 2.15 Policy - Password and Access
- 2.16 Policy - Risk Assessment
- 2.17 Policy - Vulnerability Management Policy
- 2.18 Policy - KYC Onboarding (Know Your Client)
- 2.19 Policy - KYB Onboarding (Know Your Business)
- 2.20 Policy - AML (Anti Money Laundering)
- 2.21 Policy - ESG Client (Environmental, Social and Governance)
- 2.22 Policy - ESG IWG Internal ((Environmental, Social and Governance)
- 2.23 Policy - Cybersecurity
- 2.24 Policy - IWG Website Cookies
- 2.25 Policy - Policy on Integer Wealth Global Website Content
- 2.26 Policy - Zero Tolerance Abuse
- 2.27 Policy - Website, Social Media and General Media Policy

## **3. Financial Policies**

- 3.1 Policy - Proof of Affordability & Source of Funds Disclosure
- 3.2 Policy - Setup Costs & Refund

## **4. Operational Procedures**

- 4.1 Procedure - IWG Business Functions
- 4.2 Procedure - IWG Group Business Model
- 4.3 Procedure - IWG Group Business Structure
- 4.4 Procedure - Deal Origination Client Sales Process
- 4.5 Procedure - Internal Client High Level Assessment Process
- 4.6 Procedure - Client Project & Fund Onboarding
- 4.7 Procedure - Client Project & Fund Onboarding Typical Considerations
- 4.8 Procedure - Access to Information Authorisation Request
- 4.9 Procedure - Project Management Methodology
- 4.10 Procedure - Project Scoring Contributions
- 4.11 Procedure - IWG EDMS (Electronic Document Management System)
- 4.12 Procedure - Business Continuity
- 4.13 Procedure - Incident Response Plan



- 4.14 Procedure - Physical Security
- 4.15 Procedure - Anti-Money Laundering
- 4.16 Procedure - Cybersecurity
- 4.17 Procedure - SBLC Creation Process
- 4.18 Procedure - Broker Client Introduction Onboarding Procedure

## **5. Legal Procedures**

- 5.1 Procedure - Legal & Contractual Framework (Legal)
- 5.2 Procedure - Confidentiality & Non-Approach (Legal)
- 5.3 Procedure - EU Equivalence Decisions Assessment ex European Commission
- 5.4 Procedure - EU Equivalence Decisions in Financial Services Policy
- 5.5 Procedure - Backup Policy & Procedure
- 5.6 Procedure - Client Code of Conduct
- 5.7 Procedure - Formal Client conduct Warning – Termination Notice