



# INTEGER WEALTH GLOBAL

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**POLICY DOCUMENT – 20200215/01**

**Company Investor Onboarding Policy for Alternative Investment Funds (AIF)**

**15 February 2020**

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Integer Wealth Global (IWG) welcomes Company Investors seeking to establish Alternative Investment Funds (AIFs). This policy outlines the onboarding process for company investors in compliance with the latest regulatory requirements and IWG's commitment to due diligence and transparency.

## **Purpose**

The purpose of this policy is to provide a clear and efficient onboarding framework for company investors establishing AIFs, ensuring compliance with UK regulations and the standards set by the Financial Conduct Authority (FCA).

## **Scope**

This policy applies to all company investors who are setting up AIFs with IWG.

## **Policy Details:**

### **1. Compliance and Due Diligence**

#### **1.1 Know Your Customer (KYC) and Anti-Money Laundering (AML) Checks:**

IWG will conduct thorough KYC and AML checks to verify the identity of company investors and the legitimacy of their funds, in accordance with the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.

#### **1.2 Regulatory Compliance:**

IWG will ensure that the onboarding process complies with the UK's AIFM Regulations, and the criteria set by the FCA for managing AIFs.

#### **1.3 Sanctions and FATF Compliance:**

IWG verifies that Company Investors or their beneficial owners are not listed in the sanctions list by the United Nations Security Council and are not residents in countries identified by the Financial Action Task Force (FATF) as having strategic deficiencies in anti-money laundering or combating the financing of terrorism.



## **2. Documentation and Information**

### **2.1 Investment Documentation Requirements:**

IWG will collect all necessary documentation, including corporate identity documents, proof of address, and financial statements, to complete the KYC process.

### **2.2 Investor Welcome Pack:**

IWG will provide company investors with a welcome pack that includes detailed information about IWG's investment strategies, performance history, and governance structure.

### **2.3 Investment Terms Agreement:**

IWG will clearly outline the terms of investment, including any obligations and expectations, ensuring all terms are compliant with the UK Companies Act and other relevant legislation.

## **3. Account Setup and Funding**

### **3.1 Investment Account Creation:**

IWG will assist company investors in setting up their AIF investment accounts, providing access to all necessary platforms and services.

### **3.2 Capital Contributions:**

IWG will guide company investors through the process of making their capital contributions in accordance with the agreed terms.

## **4. Ongoing Communication and Reporting**

### **4.1 Regular Updates:**

IWG will provide regular updates on IWG's performance and significant market developments to ensure company investors are well-informed.

## **5. Investor Support**

### **5.1 Dedicated Investor Relations:**

IWG offers a dedicated investor relations contact to address any queries or support needs throughout the investment lifecycle.

## **6. Feedback Mechanism**

### **6.1 Feedback Process:**

IWG implements a feedback mechanism for company investors to share their onboarding experience, enabling continuous improvement of the process.



## **7. Review and Amendments**

### **7.1 Policy Review:**

IWG regularly review and update the onboarding policy to reflect changes in regulations and best practices.

### **Conclusion**

Integer Wealth Global is dedicated to providing a transparent and compliant onboarding experience for our company investors establishing Alternative Investment Funds. This policy is designed to facilitate a successful and enduring investment relationship.

### **Acknowledgment**

By engaging with the onboarding process, company investors acknowledge that they have read, understood, and agreed to the terms outlined in this Company Investor Onboarding Policy for Alternative Investment Funds (AIF).