INTEGER WEALTH GLOBAL

Policy & Procedures Index

Issue: 22 May 2025

The following is a non-exhaustive list of content in within the 'Policies & Procedures' section beyond the 'Login & Password' section. Policies and procedures are constantly written, revised, added and updated without notice.

Should you require access to this section, kindly request the access credentials from our Compliance Department at compliance@integerwealth.global.

Kindly note that this document together with the content within our 'Policies & Procedures' section will be frequently updated.

The content index in this respective sections is:

1. **Operational Policies**

    1.1   Policy - Business Relationships – Acquisitions and Project Investment
    1.2   Policy - Communications Policy
    1.3   Policy - Confidentiality and Non-Disclosure Policy
    1.4   Policy - Data Breach Policy
    1.5   Policy - Disciplinary & Performance & Flowchart
    1.6   Policy - Document & Storage Policy
    1.7   Policy - Document Retention
    1.8   Policy - Integer Wealth Global (IWG) Onboarding AIF
    1.9   Policy - Internet and Email Usage Policy
    1.10   Policy - Non-Modification of Investment Processes & Procedures
    1.11   Policy - Staff and Personnel Onboarding
    1.12   Policy - Travel and Accommodation
    1.13   Policy - Client exit procedure
    1.14   Policy - IWG Compliance for establishment of an AIF
    1.15   Policy - Managing a Compliance Breach in the Establishment of an AIF
    1.16   Policy - Call & Meeting recordings
    1.17   Policy - Time Frame disclaimer
    1.18   Policy - Acceptable Usage
    1.19   Policy - Asset Management
    1.20   Policy - Code of Conduct
    1.21   Policy - Responsible Disclosure
    1.22   Policy - Software Development Life Cycle
    1.23   Policy - System Access Control
    1.24   Policy - Vendor Management

2. Legacy Policies

2.1 Policy - Client Restrictive Industry Sector
2.2 Policy - Due Diligence Disclosure
2.3 Policy - Non-Compliant Economic Jurisdictions
2.4 Policy - IWG GDPR (General Data Protection Regulation)
2.5 Policy - IWG GDPR (General Data Protection Regulation) (web page version)
2.6 Policy - Client Exit and Mandate Cancellation Policy (policy document PDF version)
2.7 Policy - Client Exit and Mandate Cancellation Policy (web page version)
2.8 Policy - Bribery and Corruption
2.9 Policy - Data Classification Policy
2.10 Policy - Data Deletion Policy
2.11 Policy - Data Protection Policy
2.12 Policy - Disaster Recovery Plan
2.13 Policy - Encryption Policy
2.14 Policy - Information Security
2.15 Policy - Password and Access
2.16 Policy - Risk Assessment
2.17 Policy - Vulnerability Management Policy
2.18 Policy - KYC Onboarding (Know Your Client)
2.19 Policy - KYB Onboarding (Know Your Business)
2.20 Policy - AML (Anti Money Laundering)
2.21 Policy - ESG Client (Environmental, Social and Governance)
2.22 Policy - ESG IWG Internal ((Environmental, Social and Governance)
2.23 Policy - Cybersecurity
2.24 Policy - IWG Website Cookies
2.25 Policy - Policy on Integer Wealth Global Website Content

3. Operational Procedures

3.1 Procedure - IWG Business Functions
3.2 Procedure - IWG Group Business Model
3.3 Procedure - IWG Group Business Structure
3.4 Procedure - Deal Origination Client Sales Process
3.5 Procedure - Internal Client High Level Assessment Process
3.6 Procedure - Client Project & Fund Onboarding
3.7 Procedure - Client Project & Fund Onboarding Typical Considerations
3.8 Procedure - Access to Information Authorisation Request
3.9 Procedure - Project Management Methodology
3.10 Procedure - Project Scoring Contributions
3.11 Procedure - IWG EDMS (Electronic Document Management System)
3.12 Procedure - Business Continuity
3.13 Procedure - Incident Response Plan
3.14 Procedure - Physical Security

4. Legal Procedures

4.1 Procedure - Legal & Contractual Framework (Legal)
4.2 Procedure - Confidentiality & Non Approach (Legal)
4.3 Procedure - EU Equivalence Decisions Assessment ex European Commission
4.4 Procedure - EU Equivalence Decisions in Financial Services Policy

4.5   Procedure - Backup Policy & Procedure